

REPORT TO:	HEALTH AND WELLBEING BOARD 4 December 2013
AGENDA ITEM:	12
SUBJECT:	Health and wellbeing board risk register
LEAD OFFICER:	Hannah Miller, Executive director of adults services, health and housing & deputy chief executive, Croydon Council

CORPORATE PRIORITY/POLICY CONTEXT:

The Health and Social Care Act 2012 created statutory health and wellbeing boards as committees of the local authority. Their role is to improve the health and wellbeing of local people by promoting integration and partnership working between the NHS, social care, children's services, public health and other local services, and to improve democratic accountability in health.

FINANCIAL IMPACT:

Risk 5 identifies impacts and controls relating to limited or constrained financial allocations in health and social care giving rise to the inability to balance reducing budgets with a rising demand.

1. RECOMMENDATIONS

The health and wellbeing board is asked to:

- Comment on the risks identified in the strategic risk register, including identifying any additional risks not captured
- Comment on planned actions to mitigate identified risks
- Agree that the executive group will maintain and review the strategic risk register with regular reports to the board.

2. EXECUTIVE SUMMARY

2.1 This report presents a new strategic risk register for the health and wellbeing board. The register has been compiled after consideration of outputs from the board strategic risk workshops held on 1 August 2013. Drafts of the risk register were discussed by the executive group at its meetings on 10 September 2013 and 22 October 2013.

3. DETAIL

3.1 The development of a strategic risk register has formed part of the development plan for Croydon's health and wellbeing board for 2013/14. The council's risk and insurance partner Zurich Municipal was approached to support the development of the board risk register. As part of a review of strategic risk management support for 2013/14 members of the London Insurance Consortium, which includes Croydon Council, had agreed to focus on developmental support for health and wellbeing boards. Support from Zurich Municipal as part of this programme has been offered free of charge to Croydon.

- 3.2 Following an initial discussion with the chair of the executive group, the proposal for Croydon was split into three parts. The first stage 'measures of success and effective committee engagement' was designed to help board members, particularly those new to the board, to explore the statutory framework within which they are operating and what effective governance and board engagement will mean in practice. Board members were asked to assess board effectiveness using a survey tool.
- 3.3 The second stage of the exercise led on from the initial review and was designed to help board members identify potential 'blockers' to achieving strategic aims through a facilitated risk workshop. During the workshop, held on 1 August 2013, the board explored a number of areas, such as what a successful board might look like, and what would constitute effective board processes, along with the identification and management of strategic risk.
- 3.4 The workshop aimed to deliver the following outputs:
- Board strategic risk register (attachment 1)
 - Board development plan (contained within the strategic risk register as control measures)
- 3.5 During the workshop, the board discussed:
- Their roles and responsibilities
 - Processes required to enable them to continue to work effectively and efficiently;
 - The measure / critical success factors to ensure the success of the board;
 - Risks facing the board in the delivery of these
 - Initial thoughts / suggestions on how the risks might be addressed (control measures).
- 3.6 Control measures have been grouped into a number of themes. Each theme has been allocated an owner from the executive group and corresponding control measures are thereby allocated to the theme owners. The theme owner is responsible for that aspect of the board development plan. The themes for the development plan are:
1. Stakeholder and community engagement
 2. External and self-assessment
 3. Strategic alignment of board work plan
 4. Performance improvement
 5. Promoting integration
 6. Governance
- 3.7 Zurich Municipal have also offered support for a third stage: to support a review of 'shared service / partnership risk' regarding a particular area of contract spend or wider concepts such as integrated commissioning. Following discussion by the executive group on 22 October 2013, it is not proposed to proceed with a review at this stage but to consider it in the future as Croydon's approach to integrated commissioning develops during 2014/15.

4. CONSULTATION

- 4.1 Board members have been actively involved in the development of the strategic risk register and will be involved in its review and update.

5. SERVICE INTEGRATION

- 5.1 Risk 2 identifies the impacts and controls relating to failure to successfully integrate commissioning or service provision due to inability or unwillingness to share data.

6. FINANCIAL AND RISK ASSESSMENT CONSIDERATIONS

- 6.1 Risk 5 identifies impacts and controls relating to limited or constrained financial allocations in health and social care giving rise to the inability to balance reducing budgets with a rising demand.

7. LEGAL CONSIDERATIONS

- 7.1 No legal issues have been identified in relation to the current risk register.

8. HUMAN RESOURCES IMPACT

- 8.1 There are no direct human resources issues arising from this report. Risk 6 identifies a risk that the Board may failure to continuously develop and have the capacity and capability to operate effectively and efficiently. This may entail organisational development input and individual training and learning for Board members.

9. EQUALITIES IMPACT

- 9.1 The health and wellbeing board, as a committee of the council, has a statutory duty to comply with the provisions set out in the Equality Act 2010. The board must, in the exercise of all its functions, have due regard to the need to comply with the three arms or aims of the general equality duty. Case law has established that the potential effect on equality should be analysed at the initial stage in the development or review of a policy, thus informing policy design and final decision making.
- 9.2 Risk 4 identifies impacts and controls relating to a failure to understand the community's expressed wants and choices and to ensure that ongoing engagement with the public is maintained and views and opinions considered and actioned where appropriate. The community engagement plan for the Board should explicitly address protected characteristics.

CONTACT OFFICER: Steve Morton, head of health and wellbeing, Croydon Council
steve.morton@croydon.gov.uk, 020 8726 6000 ext. 61600

BACKGROUND DOCUMENTS

None

ATTACHMENTS

Attachment 1 HWB risk register.xls